

## Mapping your Network

Steve Williams
April 21 2015

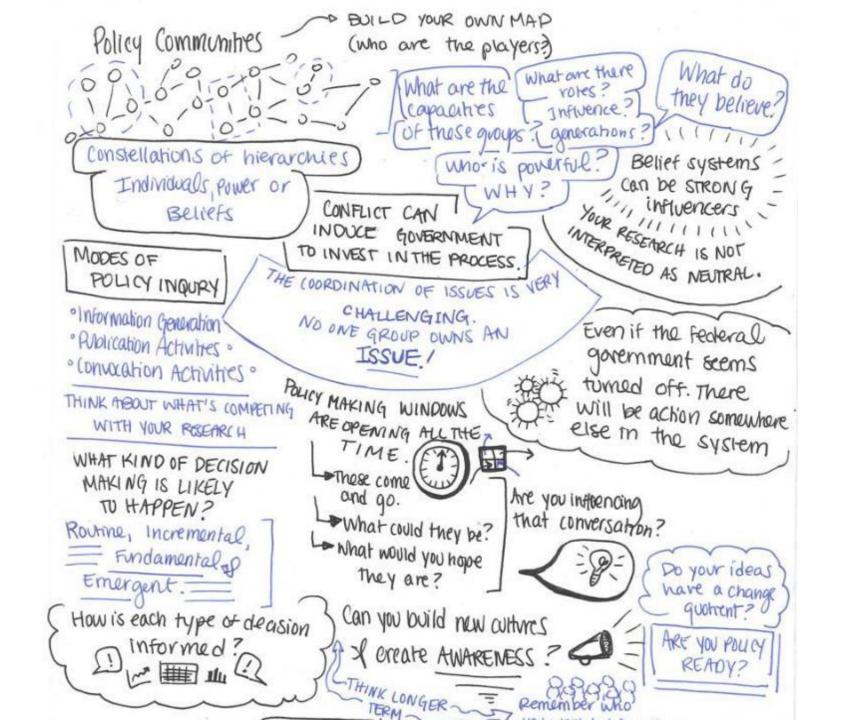


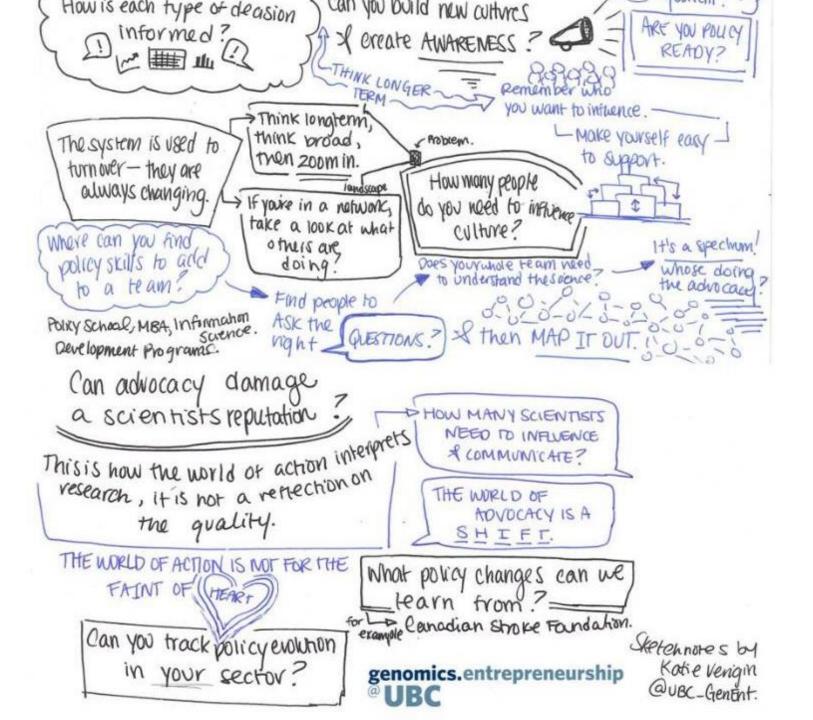
## Pathways for Change

	Theory (Key Authors)	Discipline	How Change Happens	This theory may be useful when:
Global Theories	1. "Large Leaps" or Punctuated Equilibrium Theory (Baumgartner, Jones)	Political Science	Like seismic evolutionary shifts, significant changes in policy and institutions can occur when the right conditions are in place.	Large-scale policy change is the primary goal     Strong capacity for media advocacy exists
	2. "Coalition" Theory or Advocacy Coalition Framework (Sabatier, Jenkins Smith)	Political Science	Policy change happens through coordinated activity among a range of individuals with the same core policy beliefs.	A sympathetic administration is in office     A strong group of allies with a common goal is in place or can be formed
	3. "Policy Windows" or Agenda Setting (Kingdon)	Political Science	Policy can be changed during a window of opportunity when advocates successfully connect two or more components of the policy process: the way a problem is defined, the policy solution to the problem or the political climate surrounding their issue.	Multiple policy streams can be addressed simultaneously (problem definition, policy solutions and/or political climate)     Internal capacity exists to create, identify, and act on policy windows
Theories related to Strategies or Tactics	4. "Messaging and Frameworks" or Prospect Theory (Tversky & Kahneman)	Psychology	Individuals' policy preferences or willingness to accept them will vary depending on how options are framed or presented.	The issue needs to be redefined as part of a larger campaign or effort  A key focus of the work is on increasing awareness, agreement on problem definition, or an issue's salience
	5. "Power Politics" or Power Elites Theory (C. Wright Mills, Domhoff)	Sociology	Policy change is made by working directly with those with power to make decisions or influence decision making.	One or more key allies is in place     The focus is on incremental policy change (e.g., administrative or rule changes)
	6. "Grassroots" or Community Organizing Theory (Alinsky, Biklen)	Social Psychology	Policy change is made through collective action by members of the community who work on changing problems affecting their lives.	A distinct group of individuals is directly affected by an issue The advocacy organization can and is willing to play a "convener" or "capacity-builder" role rather than the "driver" role  The state of the state

	Theory (Key Authors)	Discipline	How Change Happens	This theory may be useful when:
Global Theories	1. "Large Leaps" or Punctuated Equilibrium Theory (Baumgartner, Jones)	Political Science	Like seismic evolutionary shifts, significant changes in policy and institutions can occur when the right conditions are in place.	<ul> <li>Large-scale policy change is the primary goal</li> <li>Strong capacity for media advocacy exists</li> </ul>
	2. "Coalition" Theory or Advocacy Coalition Framework (Sabatier, Jenkins-Smith)	Political Science	Policy change happens through coordinated activity among a range of individuals with the same core policy beliefs.	<ul> <li>A sympathetic administration is in office</li> <li>A strong group of allies with a common goal is in place or can be formed</li> </ul>
	3. "Policy Windows" or Agenda Setting (Kingdon)	Political Science	Policy can be changed during a window of opportunity when advocates successfully connect two or more components of the policy process: the way a problem is defined, the policy solution to the problem or the political climate surrounding their issue.	<ul> <li>Multiple policy streams can be addressed simultaneously (problem definition, policy solutions and/or political climate)</li> <li>Internal capacity exists to create, identify, and act on policy windows</li> </ul>
Theories related to Strategies or Tactics	4. "Messaging and Frameworks" or Prospect Theory (Tversky & Kahneman)	Psychology	Individuals' policy preferences or willingness to accept them will vary depending on how options are framed or presented.	<ul> <li>The issue needs to be redefined as part of a larger campaign or effort</li> <li>A key focus of the work is on increasing awareness, agreement on problem definition, or an issue's salience</li> </ul>
	5. "Power Politics" or Power Elites Theory (C. Wright Mills, Domhoff)	Sociology	Policy change is made by working directly with those with power to make decisions or influence decision making.	One or more key allies is in place     The focus is on incremental policy change (e.g., administrative or rule changes)
	6. "Grassroots" or Community Organizing Theory (Alinsky, Biklen)	Social Psychology	Policy change is made through collective action by members of the community who work on changing problems affecting their lives.	<ul> <li>A distinct group of individuals is directly affected by an issue</li> <li>The advocacy organization can and is willing to play a "convener" or "capacity-builder" role rather than the "driver" role</li> </ul>

GAM YOUR DWIN MAP Policy Communities (who are the players? What do what are the they believe comments of truse groups i agresta hons Constellations of hierarchies who is powerful. Belief systems Individuals, power or can be strong influencers . YOUR RESEARCH IS NOT CONFLICT CAN Bellefs INDUCE GOVERNMENT MERPASTED AS NOTRAL. TO INVEST IN THE PROCESS MODES OF POLICY INGURY WE COORDINATION OF ISSUES IS \* Information Democition CHALLENGING NO ONE GROUP DUNS AN Even if the Federal · Avoircation Activities ISSUE / government seems · Convacation Activities timed off. There POLICY MAKING WINDOWS THINK MOOUT WHAT'S COMPETING Will be achien somewhere ARE OPENING ALLTHE? WITH YOUR POSEMELY else in the system TIME. WHAT KIND OF DECISION →Those come MAKING IS LIKELY hre you indopending and go. TO HAPPEN? that conversation? -what could they be? Rounne, Incremental L- What would you hope = Fundamental ap Do your ideas They are? Emergent: have a change quoment? Can you build now cultures How is each type of deasion PARE YOU POLICY informed? create AWARENESS ? READY2 匠團是 THINK LOWGER ~ Romamber you want to influence Think langterm - Make yourself easy think broad, Printer. The system is used to then 200m in. to support. turn over - they are How wany people auways changing. If you're in a notwork, do you need to influence take a look at what culture? where can you find 14's a spechant others are policy skills to add doing whose doing to binderstand the linear to a team the advocace Find people to Policy School, MBA, Information & then MAP IT DUT i Development Programac (an advocacy domage TOW MANY SCIENTISTS a scientists reputation NEED TO INFLUENCE This is how the world of action interprets & COMMUNICATE? research, it is not a remection on THE WORLD OF MOVOCACY IS A the quality. SHIFE THE WORLD OF ACTION IS NOT FOR THE What policy changes can we FAINT OF WERE \_learn from?= for La Canadian Stroke Foundation. Can you track bolicy evolution Statements by in your sector. Kotie Venigin genomics.entrepreneurship @UBC\_Genent. UBC





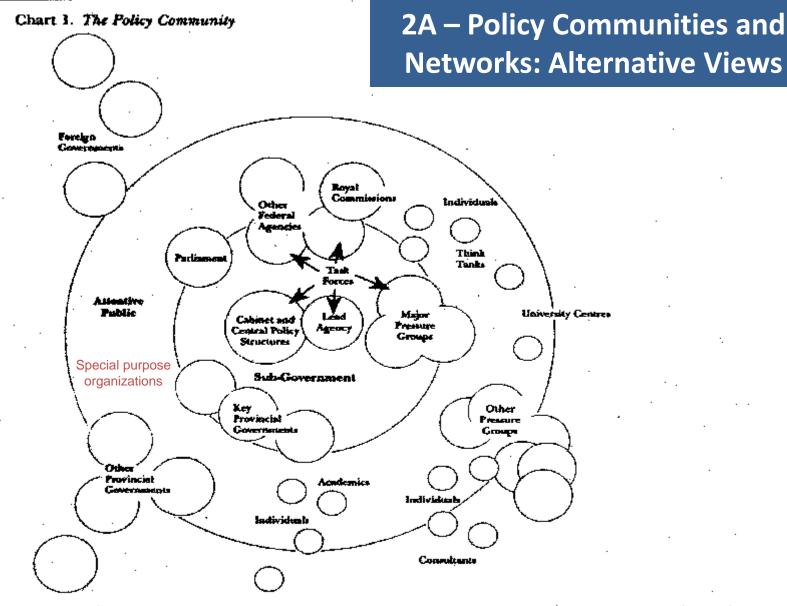
# Making Research Count: Strategies and Expectations for Influencing Policy-Making in Complex, Dynamic Environments

### **Spring 2015 Genome BC Policy Entrepreneurship Programs**

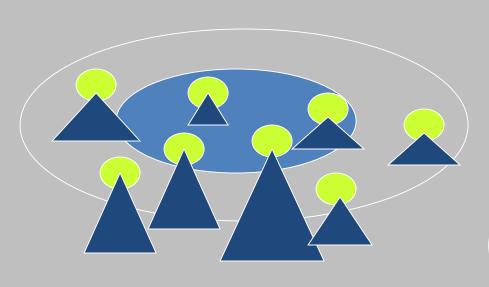
Overcoming Policy Barriers and Institutional Hurdles that Prevent Your Research from Achieving Its Full Impact

Evert Lindquist, Director School of Public Administration University of Victoria

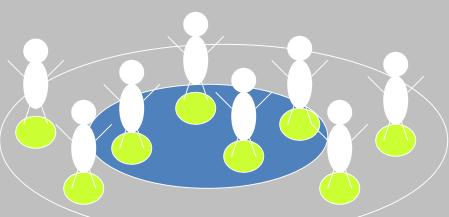
Michael Smith Laboratories University of British Columbia 14 April 2015

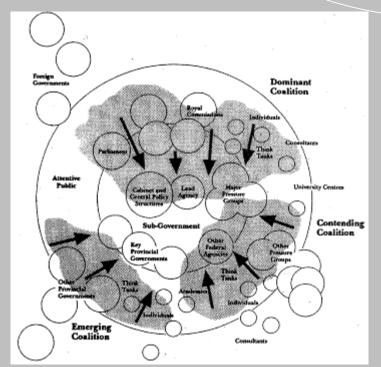


Adapted from P. Pross, "Pressure Groups: Talking Chamelons" in M.S. Whittington and G. Williams (eds.) Canadian Politics in the 1990's.

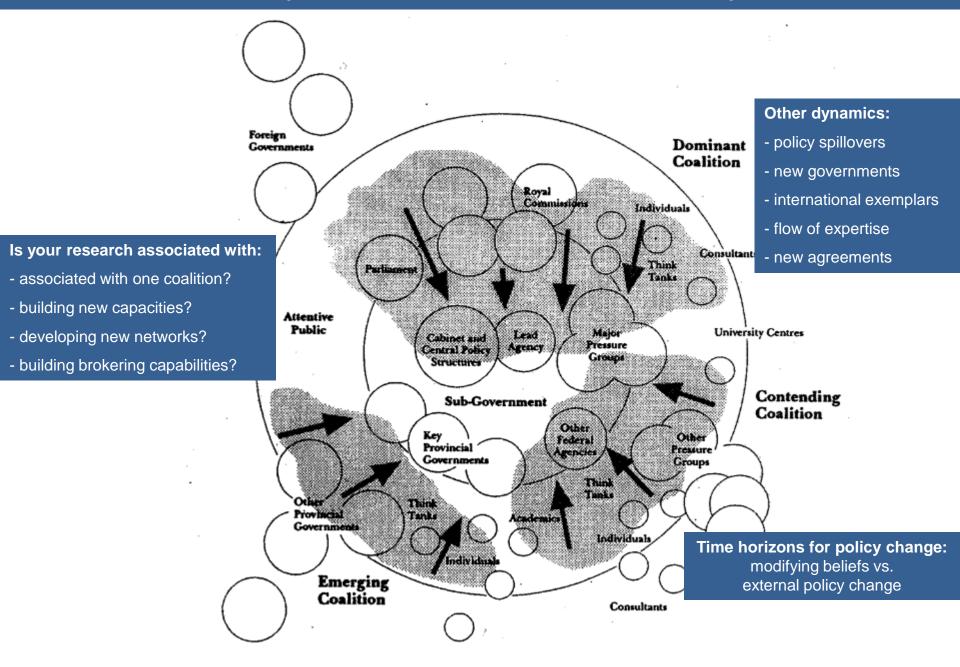


## **2B – Policy Communities:**Constellations of Hierarchies, Individuals, Power or Beliefs?

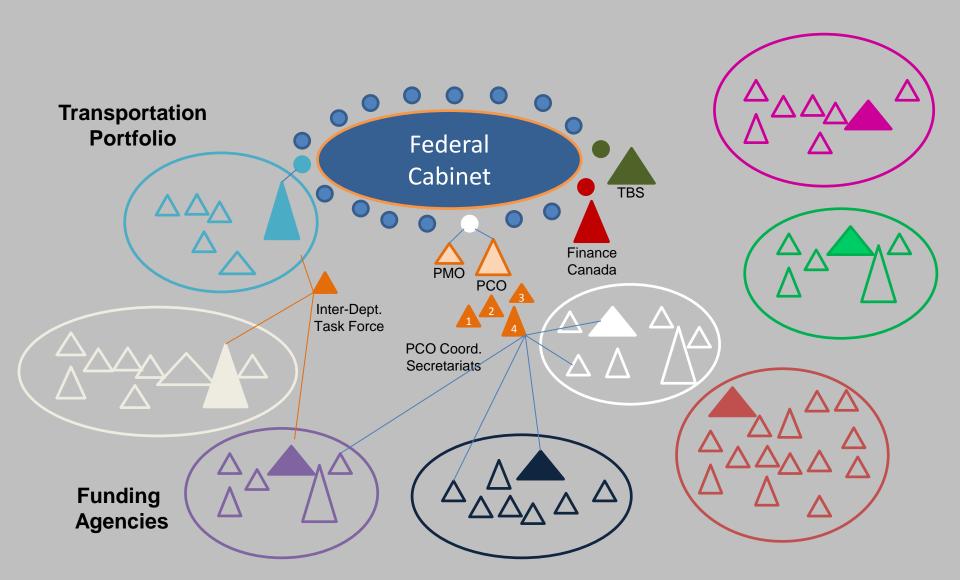




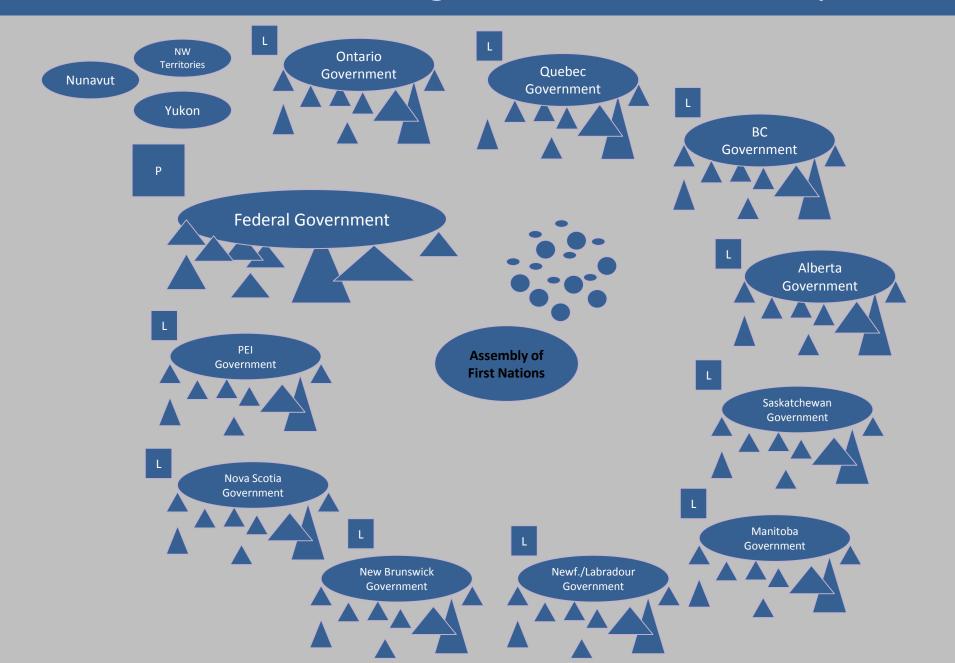
### 2C – Policy Communities as Belief Systems



# 2D – Federal Government Organization

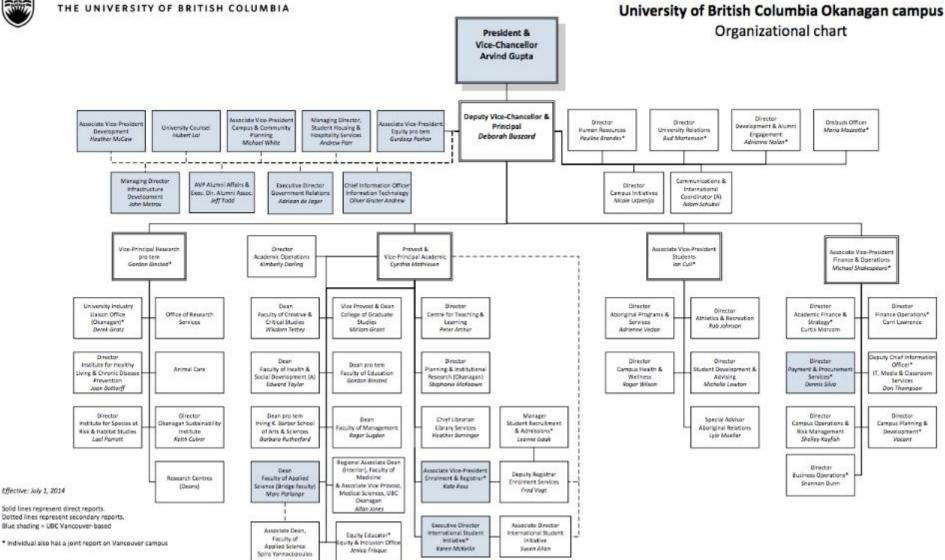


### 2E – Canada's Intergovernmental Landscape





a place of mind



### 3 – Beyond Research: Modes of Policy Inquiry

### **Publication Activities**

Memos

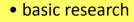
Reports

**Articles** 

**Books** 

**Briefs** 

**Web Sites** 



applied research

policy research

Research Analysis

Information Generation

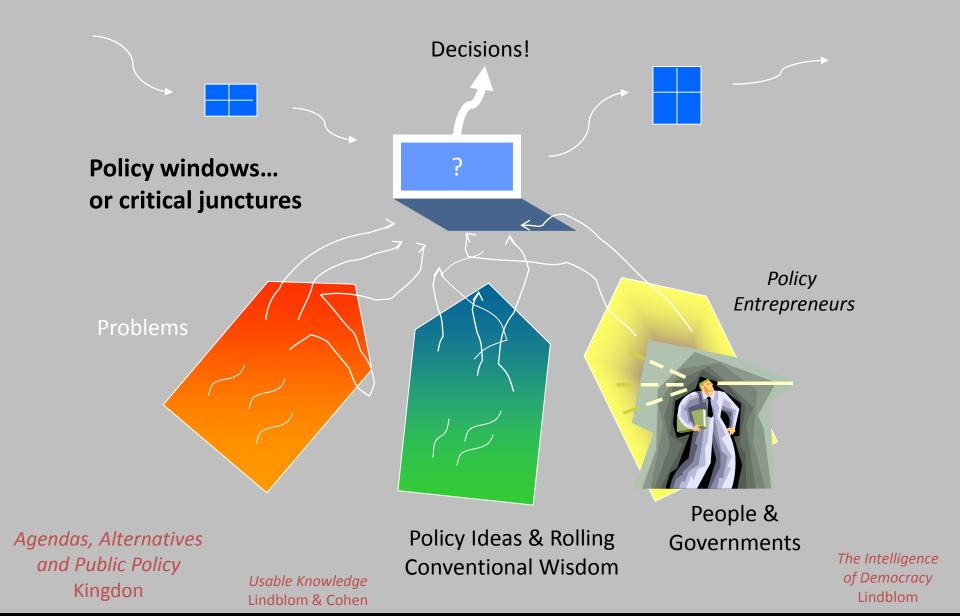
Data

Convocation Activities

Workshops
Seminars
Conferences
Briefings
Speeches
E-connections

What about social media, instant journals, factoids,

### 4 – Agenda-Setting and Public Policy



### Types of Policy Influence....

### **Broadening Policy Horizons**

- Providing <u>opportunities</u> for networking & learning within the jurisdiction or with colleagues elsewhere
- Introducing <u>new concepts</u> to frame debates, putting ideas on the agenda, or stimulating public debate
- Educating researchers and others who take up new positions with <u>broader</u> <u>understanding</u> of issues
- Stimulating <u>quiet dialogue</u> among decision-makers

### **Expanding Policy Capacities**

- Improving the knowledge and data of certain actors
- Supporting recipients to develop innovative ideas
- Improving capabilities to communicate ideas
- Developing new talent for research and analysis

### **Affecting Policy Regimes**

- Modification of existing programs or policies
- Fundamental re-design of programs or policies
- Establish new policy regime and programs



## Reversing the Field: From Challenges to Strategies

- The challenge of 'two communities' long a concern
- Different cultures, time horizons, sense of possibilities
- Policy-making systems are complicated, often opaque
- Ministerial and top executive time is over-determined
- Incredible volume of information converging on them
- Policy challenges not the domain of any one department
- Policy often 'catches up' to practice; ↑pace of change
- Canadian "PM" government even more centralized
- Political leaders less likely to take advice from officials
- Governments see 'mandates' as the 'long campaign'
- Research can challenge underpinnings of policy regimes
- Groups now mobilize to discredit science-based findings

### Focus: Developing Access, Trust, Expertise, Pressure Points, Plans

- •Identify policy windows, time horizons, change 'quotient'
- •Map and expand consensus in applied research networks..
- •Get international validation...
- •Build/lever other capacities to influence those in power (NGOs, think tanks, associations, lobbyists, etc.)
- •Build knowledge both inside & outside government (networks)
- •Conferences, workshops, etc.
- •Placement and recruitment of graduate students as strategy
- •Fostering scholar-practitioner exchanges (short & longer term)
- •Persuade first ministers...
- •Innovation can start anywhere
- •Readiness to make the case...
- •Is implication 'policy-ready'?
- •What about pilot projects?

### Focus: Developing Access, Trust, Expertise, Pressure Points, Plans

- •Identify policy windows, time horizons, change 'quotient'
- Map and expand consensus in applied research networks..
- •Get international validation...
- Build/lever other capacities to influence those in power (NGOs, think tanks, associations, lobbyists, etc.)
- Build knowledge both inside & outside government (networks)
- •Conferences, workshops, etc.
- Placement and recruitment of graduate students as strategy
- Fostering scholar-practitioner exchanges (short & longer term)
- Persuade first ministers...
- Innovation can start anywhere
- Readiness to make the case...
- Is implication 'policy-ready'?
- What about pilot projects?

#### **Broadening Policy Horizons**

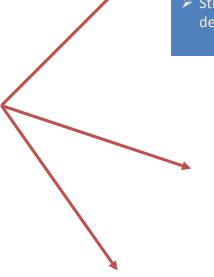
- Providing <u>opportunities</u> for networking & learning within the jurisdiction or with colleagues elsewhere
- ➤ Introducing <u>new concepts</u> to frame debates, putting ideas on the agenda, or stimulating public debate
- Educating researchers and others who take up new positions with <u>broader</u> <u>understanding</u> of issues
- Stimulating <u>quiet dialogue</u> among decision-makers.



- Improving the knowledge and data of certain actors
- Supporting recipients to develop innovative ideas
- Improving capabilities to communicate ideas
- Developing new talent for research and analysis

#### **Affecting Policy Regimes**

- Modification of existing programs or policies
- > Fundamental re-design of programs or policies
- Establish new policy regime and programs





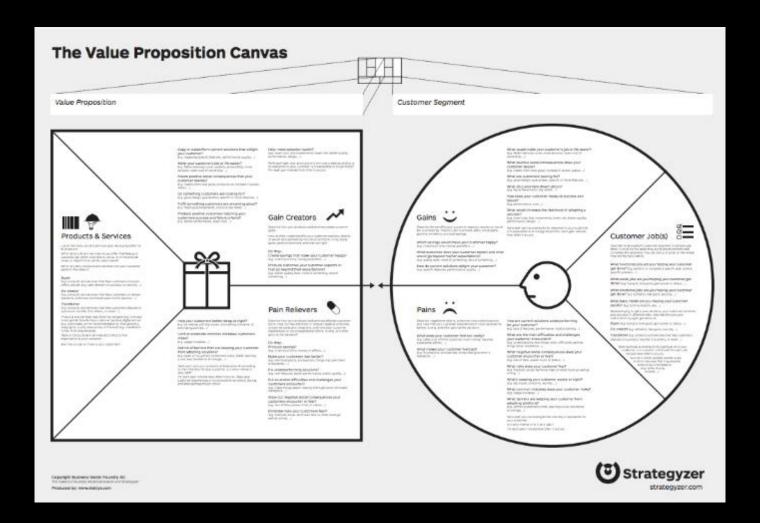
### **MAPPING YOUR NETWORK**

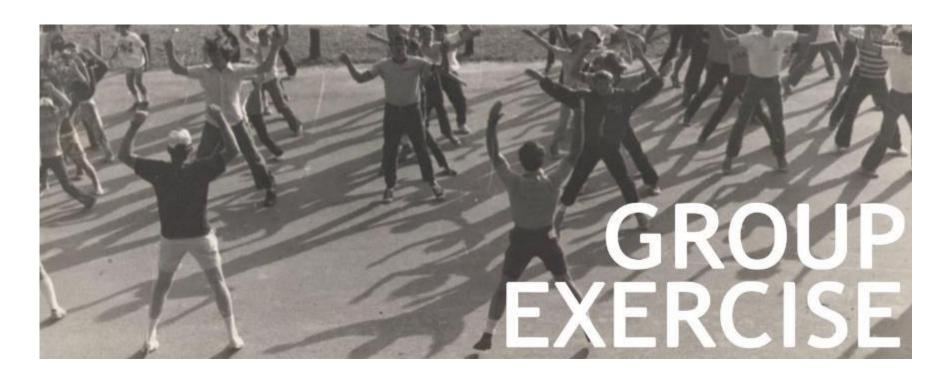
### **Mapping Your Network**

- What kind of influence are you targeting?
- What level is most relevant to you?
- Who are the people?
- What are their beliefs?
- How were they trained?
- What power (capacity, knowledge, reputation) do they have?
- Where do they get their information (advisors, social media, etc.)
- What role do they play?
- AND if you don't know, how will you find this out?



## Value Proposition Canvas





### **DEVELOP YOUR VALUE PROPOSITION**

### **Your Value Proposition**

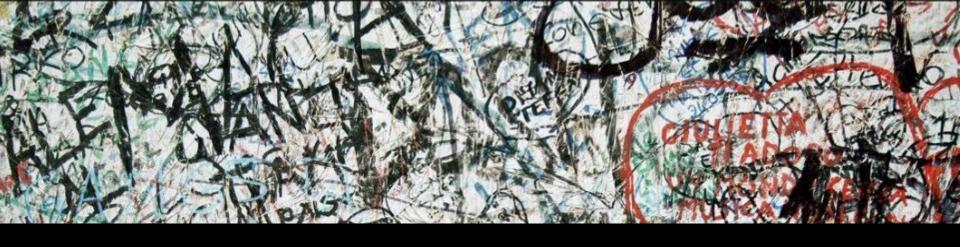
- You already know your "customer"!
- What is your product/policy?
- What pains do they have that you can alleviate?
- What gains can you provide?



## Are you policy ready?

### Resources

- Carden, F. 2009. *Knowledge to Policy: Making the Most of Development Research*. Ottawa: International Development Research Centre.
- Nutley, S.M., et al. 2007. *Using Evidence: How Research Can Inform Public Services*. Bristol: The Policy Press.
- Lindquist, E. 2001. Discerning Policy Influence: Framework for Strategic Evaluation of IDRC-supported Research.
   Ottawa: Evaluation Unit, IDRC. Available at https://idl-bnc.idrc.ca/dspace/bitstream/10625/29252/1/118166.pdf.



## Thank you!

Steve Williams

steve@constructive.net @constructive











